Counterfeit Detection and Avoidance

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Introduction to Hardware Security & Trust University of Florida

Why Counterfeiting?

Lucrative business

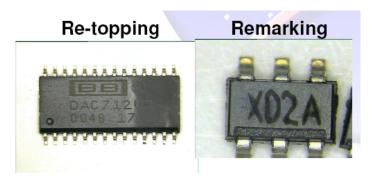
- Easy money, floating everywhere in the world
- Easy to make counterfeit components
- Enough raw material
 - □ E.g. ever increasing electronic waste.
- Copy one's design and fabricate components without paying royalty or any R&D costs

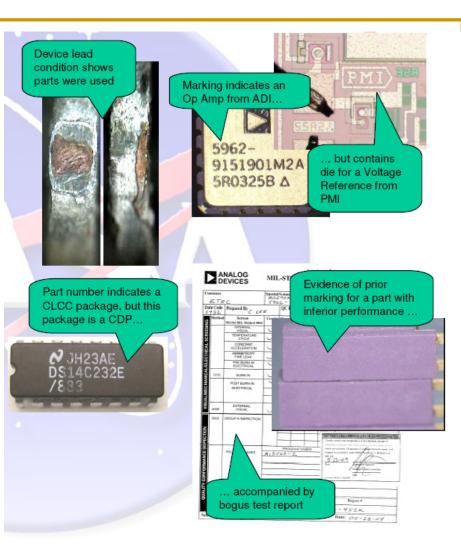
Criminal Activity

- To cripple the supply chain of one countries defense system.
- To contaminate one company's reputation.
- To kill the market share of one company.
- More ...

Counterfeit Electronic Parts

- Parts remarked or re-topped
- Defective parts scrapped by the OCM (Original component manufacturer)
- Previously used parts salvaged from scrapped assemblies
- Devices which have been refurbished, but represented as new product.
- Overproduced parts by the foundry
- Cloned IP \rightarrow IC
- Forged Documentation Misrepresentation of an IC
- Manufacturer Reject





Counterfeit Electronic Parts

A counterfeit component [1] [2]

- □ is an unauthorized copy,
- does not conform to OCM design, model, or performance standards,
- □ is not produced by the OCM,
- is out-of-specification, defective, or a used OCM product sold as new,
- has incorrect or false markings or documentation, or
- is produced or distributed in violation of intellectual property rights, copyrights, or trademark laws.

Examples

Leads:



Incorrect device leads:



Dual Marking:



Good part has only two lines of marking





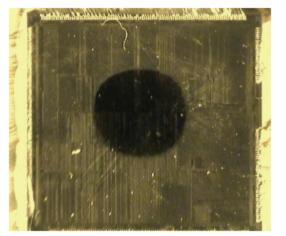
Figure 2: Photo of Known Good Part



Backside, look at the black shiny paint like substance in the lower right side, the mold pin cavity is almost gone, look at the bent leads, looks like it may have been painted over to hide sanding marks and then fraudulently remarked



Looks simple enough Intel device, marking not too bad, OH OH!!





The ink dot that identifies a reject from wafer sort.

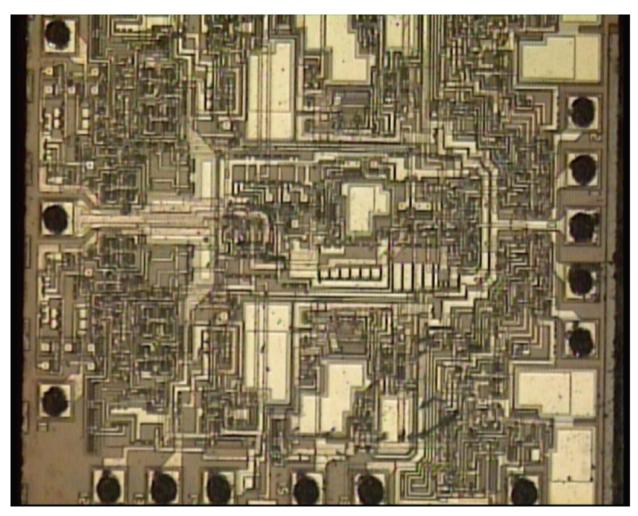
Here is the chip ID found after decap, looks good and matches the package marking



Same lot, same numbers but there is no ink dot

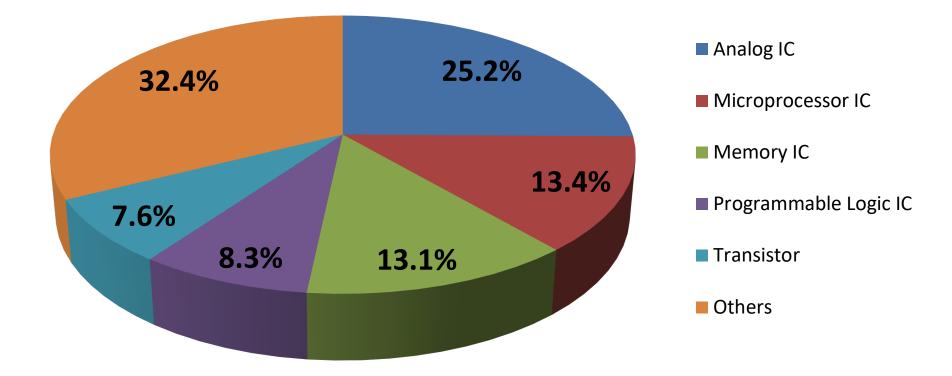


A close look at the characters shows they are backwards



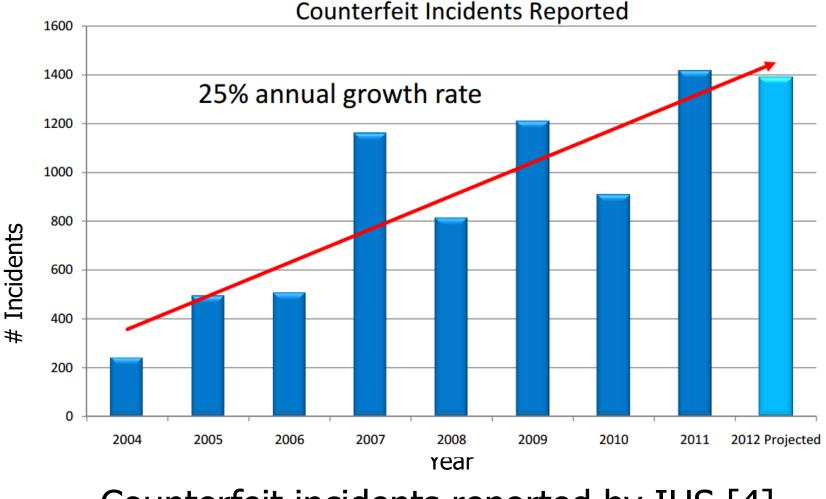
This is a cloned semiconductor chip

Most Counterfeited Parts in 2011 (% Reported Incidents)



IHS reports a **\$169B** annual risk [3]

Reports of Counterfeits



Detection Standards

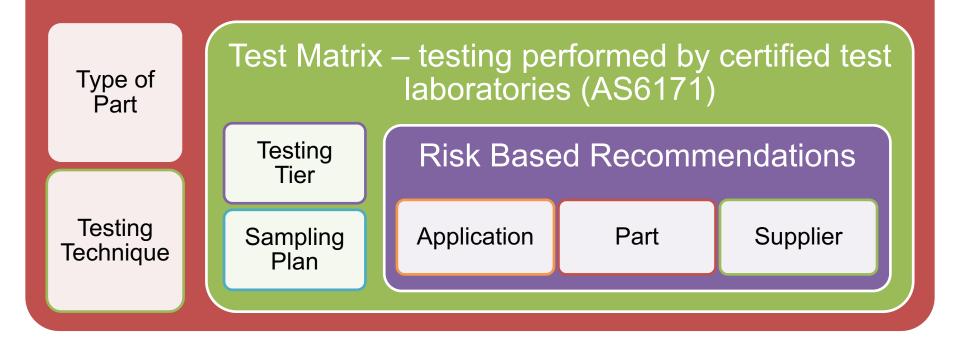
- SAE G-19A Test Laboratory Standards Development Committee
 - AS6081 Counterfeit Electronic Parts; Avoidance Protocol, Distributors
 - AS5553 Counterfeit Electronic Parts; Avoidance, Detection, Mitigation, and Disposition
 - **AS6171** Test Methods Standard; Counterfeit Electronic Parts
 - ARP6178 Fraudulent/Counterfeit Electronic Parts; Tool for Risk Assessment of Distributors
- CTI CCAP-101

IDEA-STD-1010

 Inspection standard addressing the needs for the inspection of electronic components traded in the open market

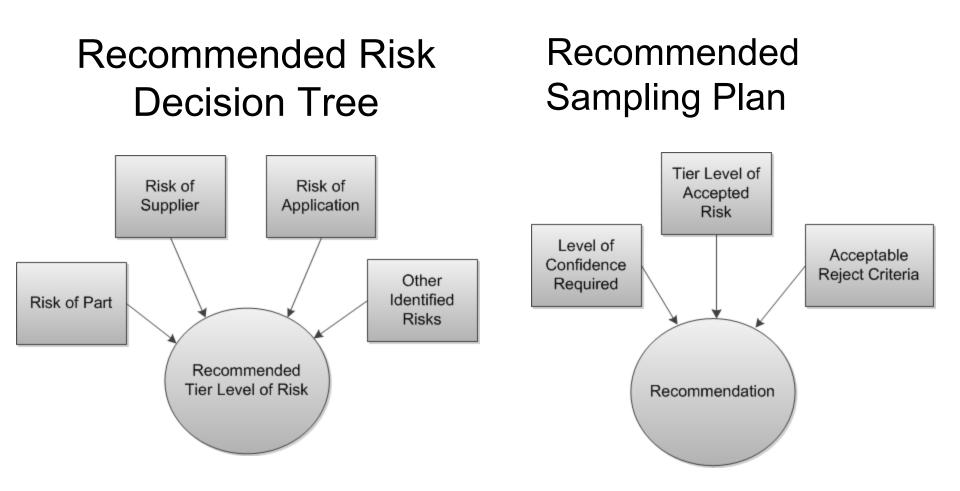
SAE G-19A Test Laboratory Subcommittee

Standardize Test & Inspection Requirements Across Industry



 System intended to create standardized testing methodology and consistency throughout industry

AS 6171 - Aerospace Standard



Testing Level Based on Risk

CRITICAL	 Electrical Test: Active Devices- DC, key AC/Switching parameters, and full Functional Test over temperature, Burn-in (240 hrs. for Space Grade Microelectronic products, for other products and applications BI time may vary) and Final Electricals to include limits and delta limits; Passive Devices-Key Electrical Parameters Test over temp., Burn-in (BI time to be specified by Components Engineer for Space Grade Microelectronic products, for other products and applications BI time may vary), Final Electrical including limits and delta limits Temp Cycling Optional:/Misc. (RAMAN, FDIR, DSC, TMA, etc.) 				
HIGH	 Key (AC, Switching, functional) At Ambient Temp Electrical Test: Active Devices DC, key AC/Switching parameters, and full Functional Test over temperature; Passive Devices-Key Electrical Parameters Test over temperature. 				
MODERATE	 Basic functional at Ambient Temp Electrical Test: Active Devices- DC, key AC/Switching parameters and key Functional, at ambient temperature; Passive Devices-Key Electrical Parameters Test at ambient temperature. 				
LOW	 Delid Physical Analysis Radiographic Inspection/X-RAY Acoustic Microscopy (AM) Seal (hermetic devices) Electrical Test ; Active Devices- DC Test at ambient temperature; Passive Devices- Value measurement at ambient temp. 				
VERY LOW	 External Visual Inspection, EVI_G, General Inspection Remarking & Resurfacing XRF, Lead Finish External visual Inspection, EVID Detailed Inspection Electrical Test: Active Devices-Curve Trace at ambient temperature; Passive Devices-Value measurement at ambient temp 				

AS6171: Active Device Counterfeit Part Detection Flow

Steps	Mechanical/Environmental/Electrical Inspections/Tests	4 Critical Risk	3 High Risk	2 Moderate Risk	1 Low Risk	0 Very Low Risk
1	External visual Inspection, EVI _G (General, Full Lot)	Y	Y	Y	Y	Y
2	External visual Inspection, EVI_D (Detailed, Sample)	Y	Y	Y	Y	Y
3	Remarking & Resurfacing, p/o EVI Inspection	Y	Y	Y	Y	Y
4	XRF	Y	Y	Y	Y	Y
5	Delid Physical Analysis	Y	Y	Y	Y	
6	Radiological/X-RAY	Y	Y	Y	Y	
7	Acoustic Microscopy (AM)	Y	Y	Y	Y	
8	Miscellaneous	AN	AN	AN	AN	
9	Seal (hermetic devices)	Y	Y	Y	Y	
10	Temp cycling/ End point electricals	Y	-	-	-	
11	DC Curve Trace, Ambient Temp					Y
12	Full DC Test, Ambient Temp	Y	Y	Y	Y	
13	DC,Key(AC,Switching, Functional),Ambient Temp	Y	Y	Y	-	
14	DC,Key(AC,Switching) & full functional Over Temp	Y	Y	-	-	
15	Burn-In & Final Electricals with Limits & Delta Limits	Y	-	-	-	

CCAP-101

Integrated Circuits

- Digital logic:
 - DC parameters, 25^oC and min/max temperature
 - Other tests useful to verify authenticity
- Linear, Op Amps & Mixed logic
 - Full power & voltage conditions
 - DC parameters, 25^oC and min/max temp
 - AC parameters 25⁰C
- Microprocessors, DSPs, Microcomputers & similar
 - Key DC parameters at 25°C and min/max temperatures
- □ Memories, RAM, SRAM, FPGA, etc.
 - Input and output pins, open and short
 - DC parameters at min/max temperature
 - FPGAs are unprogramed
 - Write and read to memory and speed, for RAM and FPGA
 - Other applicable tests
- Other Type Devices
 - Similar parameter verification based upon datasheet

Drawbacks

Drawbacks

All these standards

- Deal only two types of counterfeit parts (recycling and remarking)
- Works on the sampling basis.
- □ **Test time** is extremely high (several Hrs/parts).

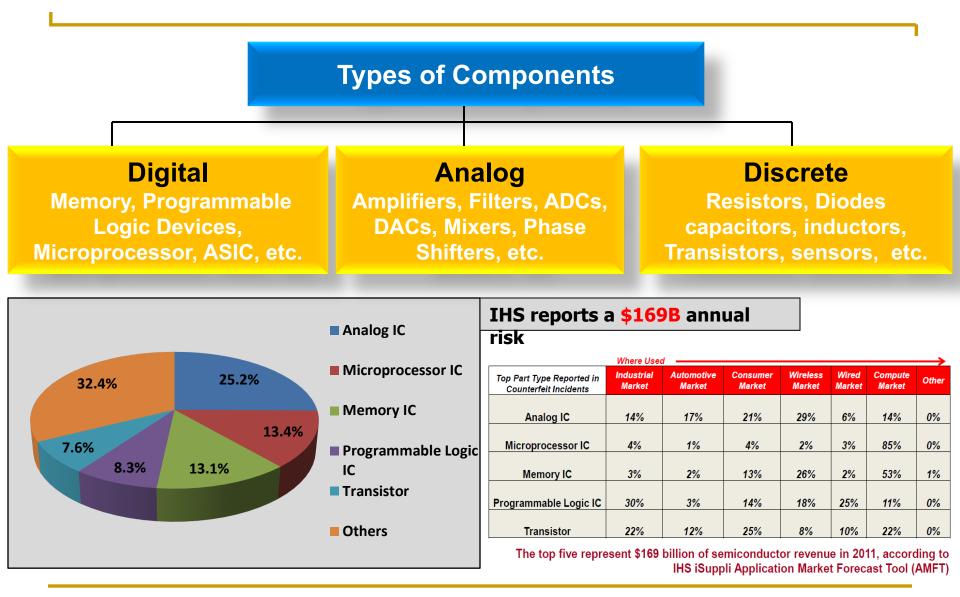
The test methods

can detect only physical defects.

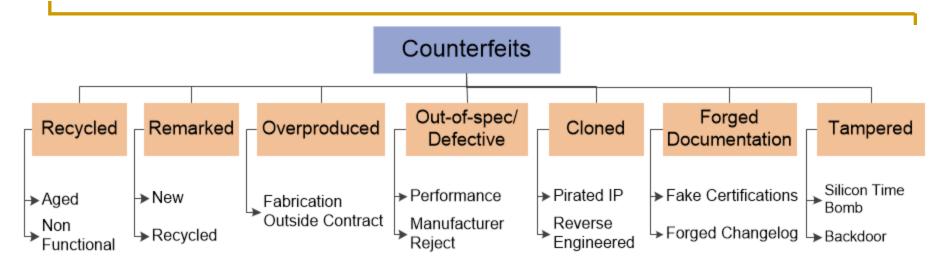
Electrical test methods

 are too simple to address the detection of counterfeit integrated circuits (ICs).

Components



Counterfeit Types



- Recycled and remarked types contribute to majority of counterfeit incidents.
- Untrusted foundry/assembly can introduce overproduced and out-ofspec/defective parts
- Cloning can be done by a wide variety of adversaries (a small entity to a large corporation)
- Tampered parts act as a backdoor where secret information from the chip or sabotage system functionality

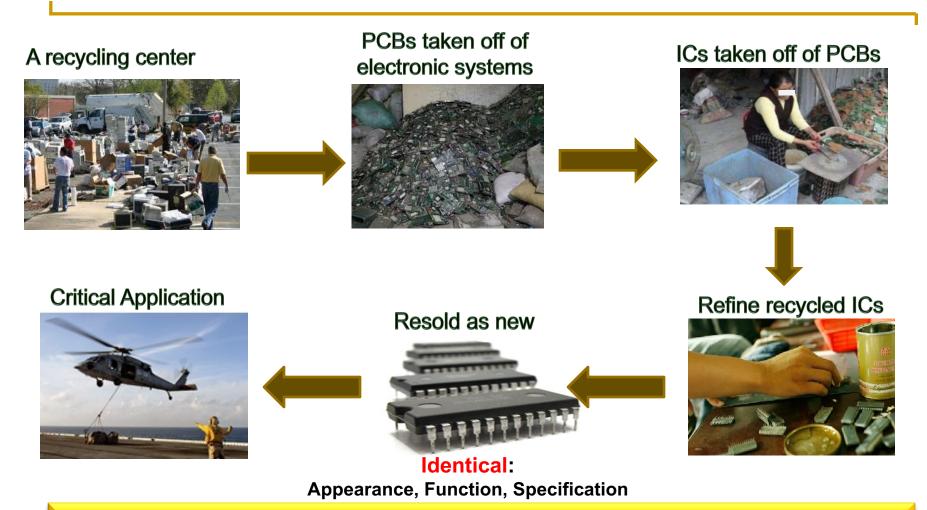
Recycled Parts

- More than 80% of the counterfeit components are recycled [5]
- In 2005, the United States only properly recycled 10-18% of all electronic waste. That number has risen to 25% as of 2009.
- Most of the recycled parts are at the end of life
 - Damaged considerably due to usage and aging

Recycled Parts

- A genuine OCM part is manufactured and used in some equipment, device, or electronic gadget for a period of time
- The user discards the device for any number of reasons
- Scrap electronics are collected and sold to developing countries or other reclaiming facilities
- Scrap devices are broken down into bare circuit boards and components
- Components are crudely extracted from circuit boards under very high temperature and prepared for resale

IC Recycling Process



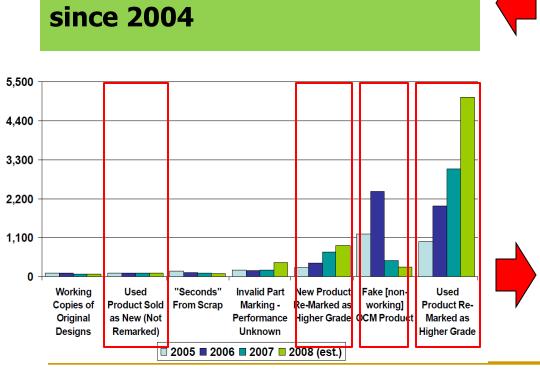
Consumer trends suggest that more gadgets are used in much shorter time – more e-waste

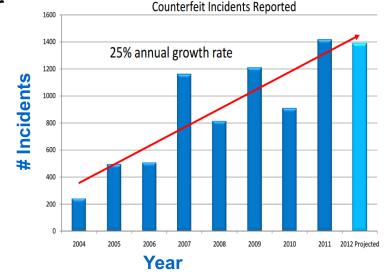
Source: Images are taken from google

Recycled and Remarked ICs

- Recycling and remarking of ICs have become major security and reliability problems
- □ IC Recycling: \$9-\$15 billions every year

IHS: All counterfeit Incidents





Counterfeit type incidents in 2005-2008 reported by US Dept of Commerce Bureau of Industry and Security Office

Remarking

 Recycling and Remarking are the most discussed counterfeit parts

Remarking parts are of two types

- Recycled components
- New Components
 - □ To change the specification of the component (commercial grade
 → military grade)

Remarking Process

- packages are sanded or grounded down to remove old markings
- a new coating is created and applied to the parts

thermal or UV-cured epoxy

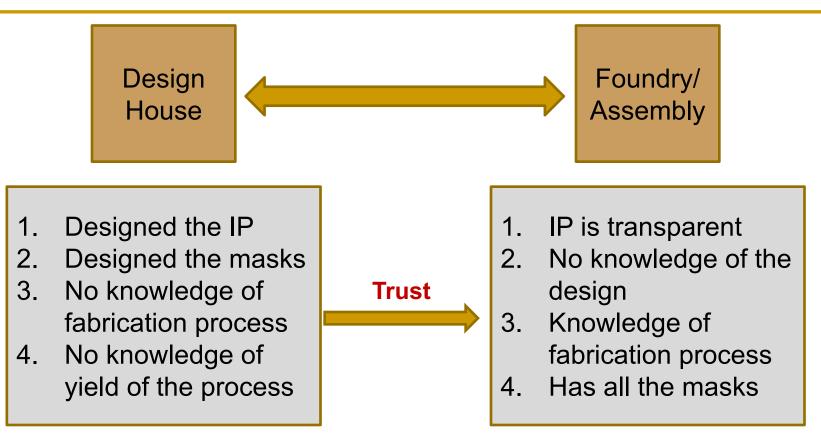
Remarking-Example



Overproduction

- The complexity of the integrated circuits (ICs) goes up exponentially as the feature size scaled down.
- Building and maintaining a modern fabrication unit costs more than \$3B and increasing day by day.
- Semiconductor business model shifted to contract foundry business model (horizontal business model.
- Example:
 - TI and AMD have outsourced their sub-45 nm fabrication to major contact foundries worldwide

Overproduction



Foundry can produce more parts

- Fabricate the yield data and sell the extra chips to the market.
- Can produce extra chips without sending the information to the design house.

Out-of-spec/ Defective

Untrusted Foundry can sell

Defective parts

- A chip may fail at one particular structural test pattern (The number of test patterns may vary in between several thousands)
- It is highly unlikely that defect will appear in normal operation of the chip in first few hours or days or months.
- Eventually, it will fail at some point of time.

Out-of-spec parts

- Fail to perform at the design specification (leakage current, dynamic current, performance, etc.)
- The chip might fail at extreme physical/environmental conditions.

Cloned

Unauthorized production of a part

Difference between overproduction and cloned is that cloned parts do not have the authorized IP, could be fabricated in a different foundry

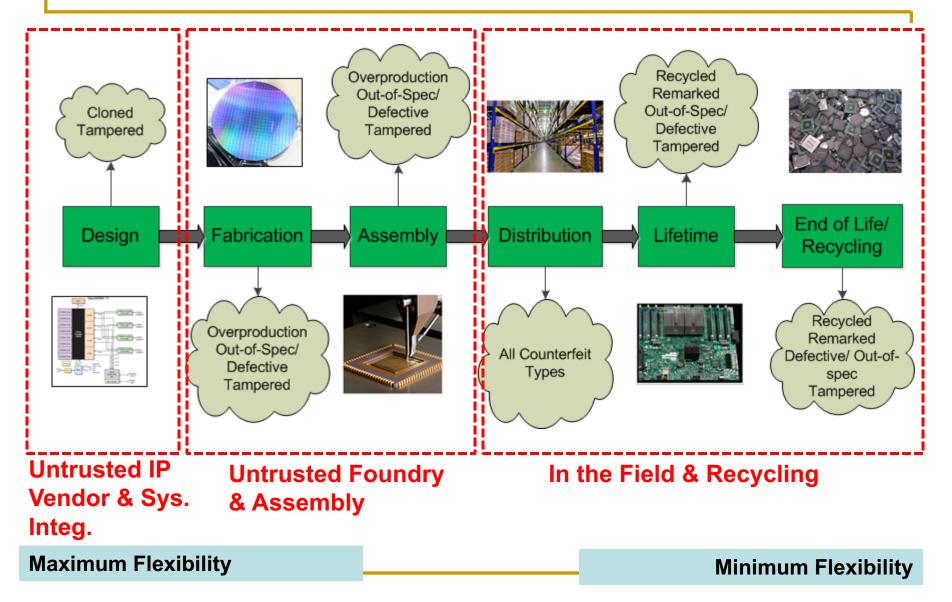
Cloned parts

- Pirated IP
 - Counterfeiters acquire the IP in an illegal manner (Saved the design cost of the IP).
- Reversed Engineered
 - Counterfeiters reverse engineer the design and make a new one just like the original design.

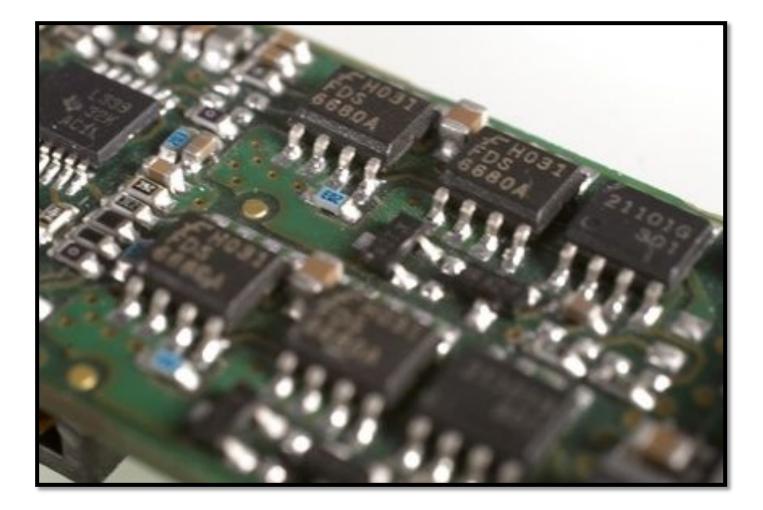
Forged Documentation

- The mismatch of specification documents between the purchased parts with the OCM (Original component manufacturer).
- Easy to detect as usually the original documents are present somewhere...
- Old parts (parts in the supply chain for around several years) have the higher probability of getting counterfeited.

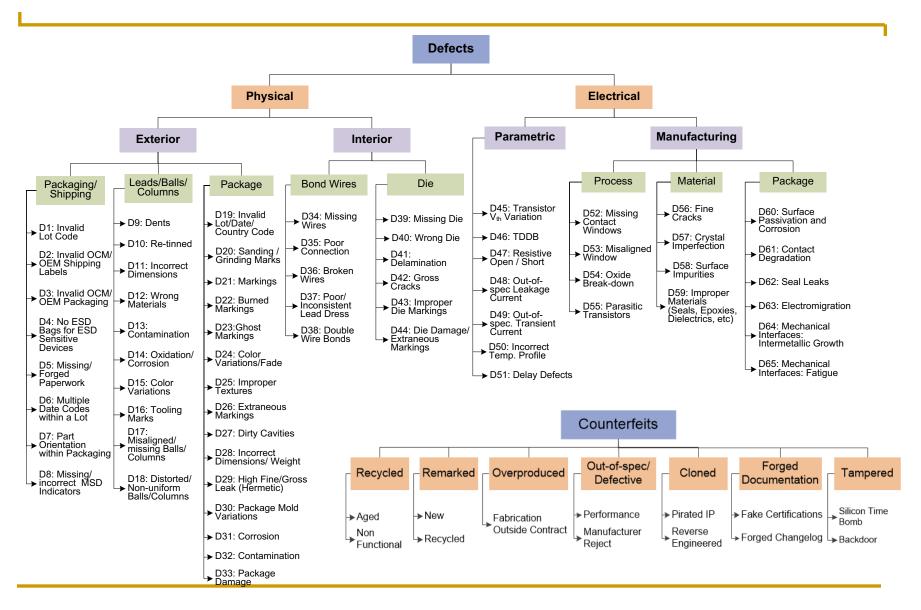
Supply Chain Vulnerability



Counterfeits are Defective!



Counterfeit Defect Taxonomy

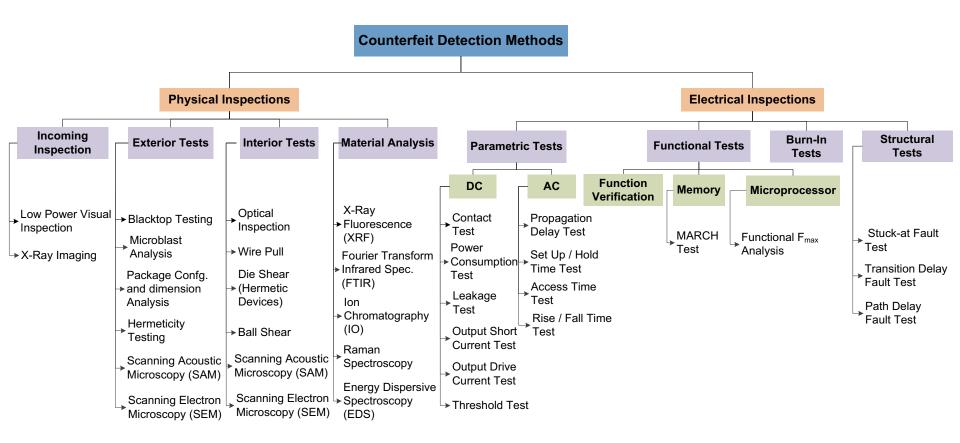


Testing for Defects



5 April 2018

Detection Method Taxonomy



External Visual Inspection (EVI)

EVI:

- All devices shall be optically examined at a suitable magnification (3X to 100X) and with suitable lighting.
- A portion of inspection (sampling) shall be performed at 40X or higher.
- IDEA specification IDEA-STD-1010-A is a good reference.

Detailed EVI Inspection:

A sample size of 119 devices shall be selected to undergo the detained EVI Inspection. Normally 116/c samples would be inspected to give a 90% confidence that the failures is at most 2%. The additional 3 samples are to be later used for marking permanency, lead finish (XRF), and Delid Physical Analysis (dpa).



Burned markings from low quality laser

Verification of:

- Date and Lot Codes
- Low Power Microscopy
- High Power Microscopy
- OEM Shipping labels
- Lead quality
- Dimensions & Weight
- Marking Quality

EVI Cont.

Test for Remarking and Resurfacing.

- The first set of tests focus on the part marking and is a resistance to solvents test
 - The markings should not smear or be removed by the solution.

Test for Resurfacing

- This test uses the same 3 devices, and consists of three separate chemical tests.
 - 1. Acetone Test,
 - 2. 1-Methyl 2-Pyrrolidinone Test, and
 - 3. Dynasolve 750 Test
- The inspection process is to look for indicators of package resurfacing and recoating.
- The 3 devices that pass this inspection are then to undergo the Delid Physical Analysis Inspection.

X-Ray Fluorescence

- X-ray Fluorescence (XRF) Spectroscopy
 - Tool for material composition detection
 - Can be a handheld instrument or a full lab system
 - Can be on external surfaces or de-lidded/de-capsulated
 - Non destructive
 - Destructive for internal material composition (e.g., wire bond, passivation, and metallization)
 - Sampling required.



XRF-Cont.

Lead finish examination

- □ Shall be performed on the 3 sample devices
- Examined for Remarking and Resurfacing, to verify that the Lead Finish / Solder Ball & Column composition matches the device specifications or datasheet

Plating material(s) identification

verify the plating layer thicknesses, presence of barrier materials, and possibly the base material

Delid Physical Analysis

The inspection

- Component's internal structure
- The top surface of a microelectronic die
- Metallization traces of a thin-film resistor

Apparatus & Equipment

- Chemical Decapsulation Process
 - Use of hazardous chemicals (Nitric acid and sulfuric acid)
- Mechanical Disassembly Tools
 - This includes cross-section tables and associates epoxy mounting material and other supplies, fine-tipped picks, x-acto blades, bladed saws, diamond wire saws, etc.

Radiographic Tool

- X-ray images
- Metallurgical Microscopes and Photodocumentation Equipment
- Scanning Electron Microscope (SEM), Energy Dispersive X-ray (EDX) tool

Description of the Procedure –Microcircuits, Hybrids, Diodes, and Transistors

- External Optical Examination
- X-ray
 - Images (top and side surface of the devices)
 - Information to be obtained for decapsulation (x-ray images to be 1:1 ratio the die location within the case)

Decapsulation of Plastic Parts and Delidding of Cavity Devices

- Plastic Parts
 - Nitric acid and sulfuric acid
- Manual delidding of ceramic devices
 - Two types of ceramic devices
 - two ceramic plates sandwiched around a glass seal ("cerdip" tool).
 - hermetically sealed metal cover that is soldered in place over the die area (xacto knife)
- Care to be taken to expose the die without damaging the other internal structures (bond pads, bond wires, lead frame, die attach material, substrate, etc.)

Description of the Procedure –Microcircuits, Hybrids, Diodes, and Transistors-Cont

Inspection and photodocumentation

- Overall photo of the decapsulated device shall be obtained. Also obtain a higher magnification photo showing only the die (up to a minimum of 500x). Inspect the die for the information listed below.
 - Manufacturer markings
 - Name and Logo
 - Unique Die part numbers
 - Die mask ID numbers
 - Year of design
 - Bond types
 - Any other markings or features that may help in identifying the origins of the die.

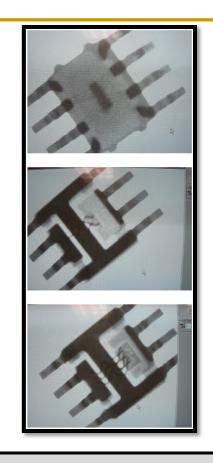
Risk Level Inspection Test

	Critical Risk	High Risk	Moderate Risk	Low Risk
	4	3	2	1
Optically Inspect/Photo document	х	х	Х	Х
Wire Pull	х	х	Х	(optional)
Die Shear (hermetic)	х	Х	(optional)	(optional)
Ball Shear	Х	Х	(optional)	(optional)
SEM Inspection	Х	(optional)	(optional)	(optional)
Perform EDX	Х	(optional)	(optional)	(optional)
Delayer/Inspect Metalization	Х	(optional)	(optional)	(optional)
Glassivation Layer Integrity Testing	х	(optional)	(optional)	(optional)

X-Ray Inspection

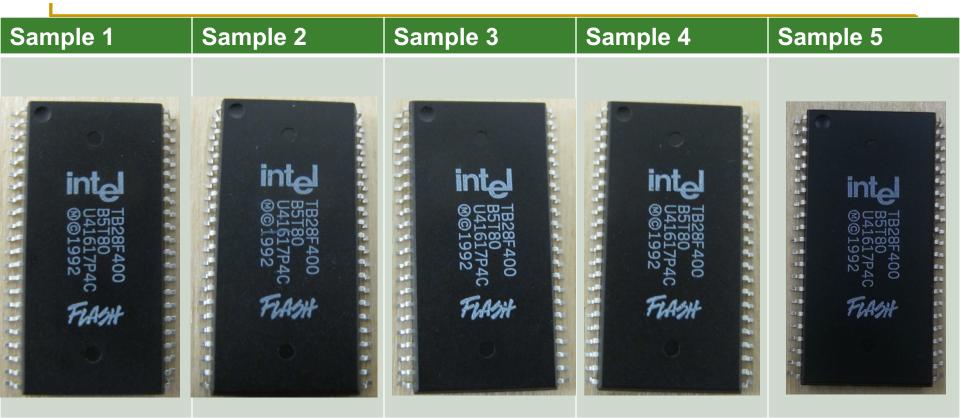
Determines:

- If the package contains a die
- Consistent size/shape of the die
- Consistent internal construction
- If the die has all wire bonds attached
- Exact die and bond wire location
 - To avoid damage during decapsulation



"The value of X-ray is increased when there is a known good OCM device available for comparison of internal details" <u>-CCAP-101 Certified Document Rev D</u>

Low Power Visual Inspection

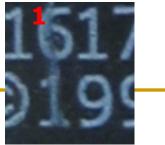


Observations: All Samples look the same at Camera level mar Except for : Sample 3 has a scratch on markings starting with

Sample 2 scratch on marking over numbers 6 and

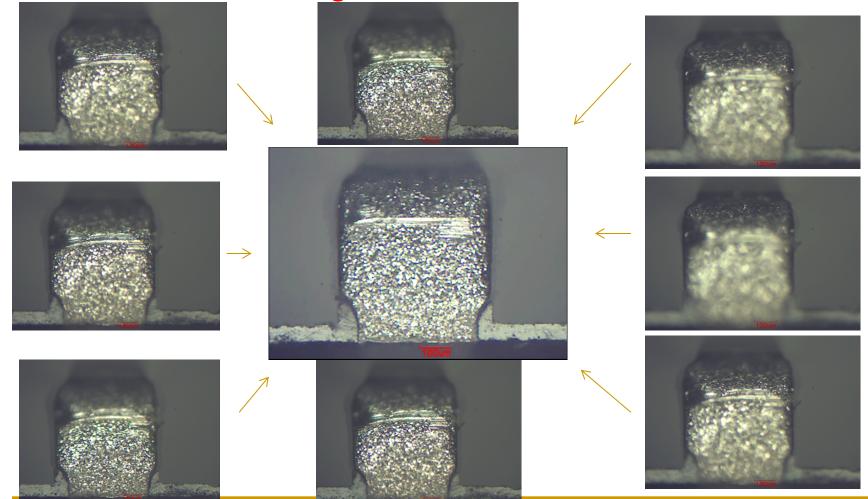
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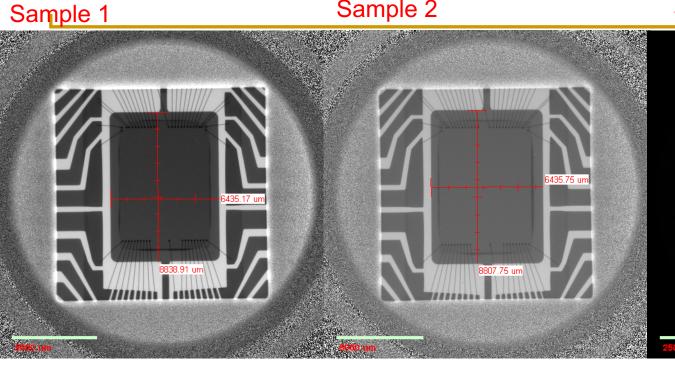


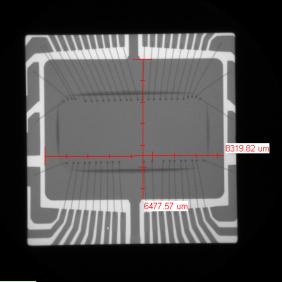
Optical Microscope + Z stack Improved Depth of field

All optical microscope image shown is the reconstruction of at least 8 images at different focus



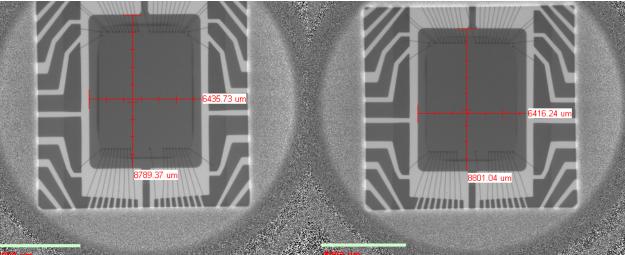
2D X-ray Radiography Sample 2 Sample 3





Sample 4

Sample 5



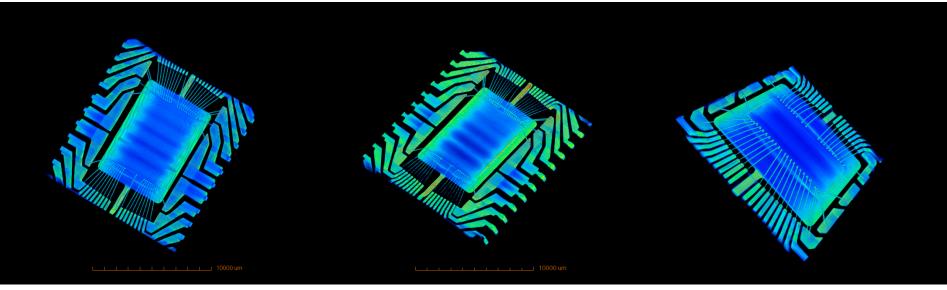
Observation: Sample 3 has a different Die and bond wires Samples 1,2,4,5 look very similar

3D X-ray Tomography

Sample 1

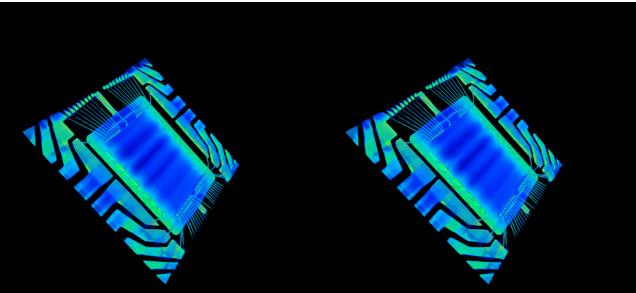
Sample 2

Sample 3



Sample 4

Sample 5



Observation: All connections are Checked and look fine on all samples Sample 3 lacks One connection which is believed to be the ground wire. (possible grade issue)

Scanning Acoustic Microscopy

- Acoustic is non-invasive
 - Reveal cracks, voids, and delamination
 - Non destructive die inspection
 - Uses de-ionized water or IPA as medium



Red areas indicate delamination

Sonoscan

C-SAM[®] Series – Model Gen6™ (Advanced C-SAM[®] System for Laboratory Environments)



ченен 3012 или советно во амен 320 советно во омар лар советно во омар лар Советно во омар как советно во омар как советно во омар как советно во советно советно советно во советно сове	54 50 10 10 10 10 10 10 10 10 10 1
AREA DATA: % WINDOW: 57.12*	VOID DATA: % LARGEST: 53.41*
QUAD1: 53.39	CORNER1: 0.00
QUAD2: 70.38*	CORNER2: 0.00
QUAD3: 48.09	CORNER3: 0.00
QUAD4: 59.91	CORNER4: 0.00

Electrical Tests

- Mainly focus on large scale integrated circuits
 - Microprocessor, Memory, and Programmable Logic chips account for almost 35% of counterfeits
- As these are high cost parts, counterfeiter will probably put much effort to counterfeit and physical detection will be extremely difficult (merely impossible)
- No definite test methodology either electrical or physical (without destroying the chip) to detect counterfeit with 100% confidence level.

Electrical Tests

Tester

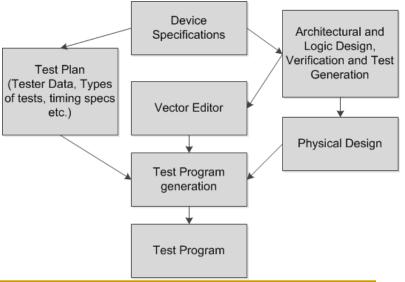
- ATE (Automated Test Equipment)
 - Specification:
 - Speed (clock rate of the device)
 - Timing (strobe) accuracy
 - Number of input/output pins, etc.

Test Programming

Limitation

- HDL description of test module must be available to test ICs
- No definite methodology to detect counterfeit ICs





Recycled Parts: Aging

- Recycled parts are around 80% of total counterfeit parts.
- Most of the defects in recycled parts are due to aging.
- Aging
 - Negative bias temperature instability (NBTI)
 - NBTI occurs in p-channel MOS devices stressed with negative gate voltages and elevated temperature due to the generation of interface traps at the Si-SiO₂ interface
 - Hot carrier injection (HCI)
 - HCI occurs in NMOS devices caused by the trapped interface charge at Si=SiO2 surface near the drain end during switching
 - Time-dependent dielectric breakdown (TDDB)
 - The carrier injection with high electric field leads to a gradual degradation of the oxide properties which eventually results in sudden destruction of the dielectric layer
 - Electromigration
 - Mass transport of metal film conductors stressed at high current densities

Parametric Test

DC Parametric Test

- Contact Test
- Power Consumption Test
- Leakage Test
- Output Short Current Test
- Output Drive Current Test
- Threshold Test

AC Parametric Test

- Propagation delay test
- Setup/hold time test
- Access time test
- Rise and fall time test

Functional Tests

Functional testing

- The most efficient way of verifying the functionality of a component.
- Function Verification of a Component
 - Determines whether individual components, possibly designed with different technologies, function as a system and produce the expected response.

Memory Tests

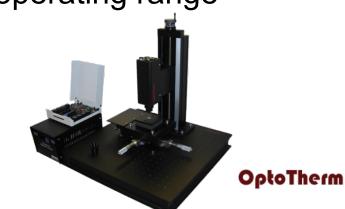
 Read/write operations are performed on a memory to verify its functionality. MARCH tests can be applied for counterfeit detection.

Microprocessor Tests

 Microprocessors are binned in different groups depending on the maximum functional frequency (f_{max}).

Temperature Cycling/ Burn-In

- Testing the chip at extremes of operating range
- Tester Ranges:
 - Military Grade: -65°C to 175°C
 - Industrial Grade: -25°C to 85°C
 - Commercial Grade: -10°C to 70°C
- Burn-in



- □ The device is operated at an elevated temperature (Stressed condition)
- □ To find infant mortality failures and unexpected failures to assure reliability.
- Test methods
 - MILSTD-883 for integrated circuits and
 - MIL-STD-750 for other discrete components.
- Very useful as it can easily weed out the commercial grade components marked as military grade.
- Can remove defective components or those components that were not designed to perform over the stressful conditions.

Structural Tests

At-speed tests

- To detect gross and spot delay defects
- Transition delay fault test / Path delay fault test

Stuck-at tests

To detect spot delay defects

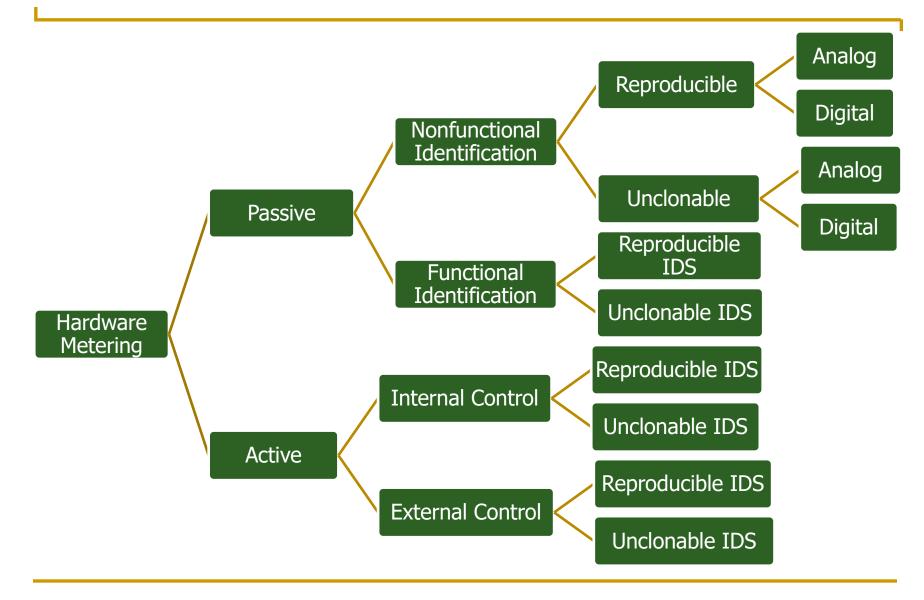
Bridging tests

To detect physical bridging defects

Hardware Metering

- Is a set of security protocols that enable the design house to achieve post-fabrication control over their ICs.
- Provides a way to uniquely fingerprint or tag each chip and/or each chip's functionality
 - It is possible to distinguish between the different chips manufactured by the same mask.
- First introduced in 2005
 - To uniquely tag each ICs functionality

Taxonomy [6]



Hardware Metering

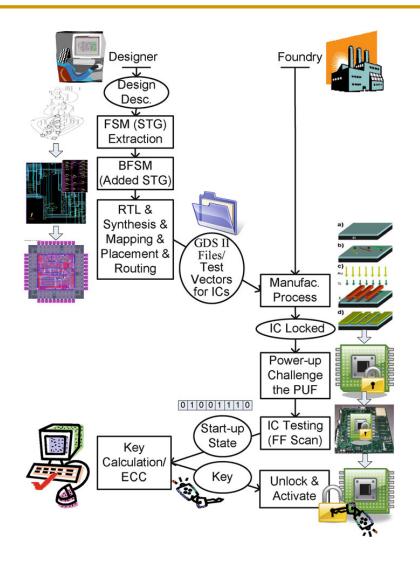
Passive IC Metering

- IDs on the package
- IDs stored in memory
 - Intel Pentium III Processor (PSN: Processor Serial Number)
- Unclonable Identifiers
 - Generate IDs utilizing process variations

Active IC Metering

- Uniquely and unclonably identifies each chip
- Provides an active mechanism to control, monitor, lock, or unlock the ICs after post fabrication

IC Enabling by Active Metering



Physical Unclonable Functions (PUFs)

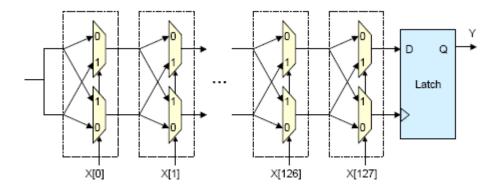
- To derive secrets from complex physical characteristics of ICs rather than storing the secrets in digital memory.
- Extremely difficult to predict or extract the secret as PUFs utilize the random process variation to generate the secret.
- PUFs generate volatile secrets (only exist in a digital form when a chip is powered on and running)
 - Harder for an invasive attack (must accurately measure PUF delays while power on)

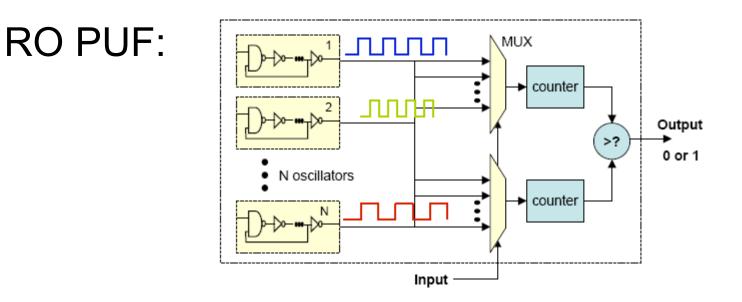
PUF

- Is a function that maps a set of challenges to a set of responses based on a complex physical system
- The function
 - Can only be evaluated with the physical system
 - Is unique for each physical system because of random process variation.

PUFs

Arbiter PUF

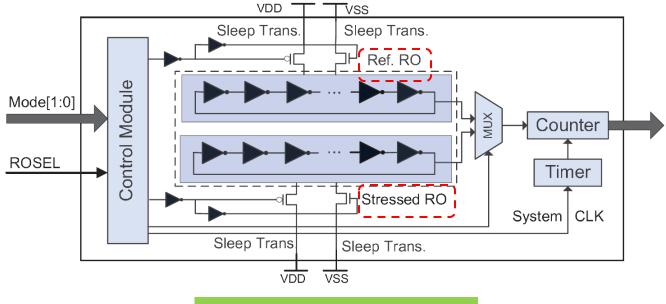




CDIR Sensor

Combating Die/IC Recovery (CDIR) sensor

- Ref. RO and Stressed RO
- Test Mode: Ref. RO and Stressed RO are both off
- Function Mode: Ref. RO is off while Stressed RO is on
- Measurement Mode: RO and Stressed RO are both on



Baseline CDIR Structure

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