Introduction to HW Security & Trust

Mark Tehranipoor

Introduction to Hardware Security & Trust University of Florida

Acknowledgement

Reference Book

 M. Tehranipoor and C. Wang, Introduction to Hardware Security and Trust, Springer, 2011

Thanks to many colleagues, students, collaborators, etc. for their help and support over the past many years in putting the content of the book and the slides together.

EEE4714 / EEE5716

Title: "Introduction to Hardware Security and Trust"

Instructor

 Mark M. Tehranipoor, Intel Charles E. Young Preeminence Endowed Chair Professor in Cybersecurity, ECE Department

Meeting time

- 4:05 4:55pm Tuesday
- 3:00 4:55pm Thursday

Meeting place

NEB 201 (Online for EDGE)

Prerequisites

- EEE3701C: Digital Logic (or equivalent)
- Self-contained
- A brief overview of VLSI design and test will be given

STAs for the Class

Adib Nahiyan

- PhD Student, Dept of ECE
- Email: <u>adib1991@ufl.edu</u>
- Office Hour: Wed 3-4pm

Andrew Stern

- PhD Student, Dept of ECE
- Email: <u>andrew.stern@ufl.edu</u>
- Office Hour: Wed 3-4pm

Office Hours for the Instructor:

Monday, 2-3pm, MAE 226

Overview of Course Content

- Cryptographic hardware:
 - Vulnerabilities, processing overhead
- Attacks:
 - Physical, invasive, non-invasive/side-channel
- Physically unclonable functions (PUFs)
- True random number generation (TRNG)
- Anti-piracy:
 - Watermarking, passive and active hardware metering
- FPGA security
 - Trusted design in FPGAs
- Hardware Trojan detection and prevention
- Counterfeit detection and avoidance

Goals

- Learning the state-of-the-art security primitives and methods as well as emerging technologies and security trends
- Integration of security as a design metric, not as an afterthought for the system
- Protection of the design intellectual property against piracy and tampering
- Better understanding of attacks and providing countermeasures against them
- Better understanding of vulnerabilities in design and fabrication processes
- Better understanding of the electronic component supply chain vulnerabilities

More to Read ...

Reading

Papers from the contemporary literature

Further possible reading

- Mihir Bellare and Phil Rogaway, Introduction to Modern Cryptography
- Ross J. Anderson. Security Engineering: A guide to building dependable distributed systems. John Wiley and Sons, 2001
- Matt Bishop, Computer Security: Art and Science, Addison-Wesley, 2003
- William Stallings. **Cryptography and Network Security**, Fourth edition, 2007
- M. Tehranipoor and F. Koushanfar, "A Survey of Hardware Trojan Taxonomy and Detection," IEEE Design and Test of Computers, 2010.
- M. Tehranipoor, H. Salmani, and X. Zhang, Integrated Circuit Authentication: Hardware Trojans and Counterfeit Detection, Springer July 2013.
- U. Guin, D. DiMase, and M. Tehranipoor, "Counterfeit Integrated Circuits: Detection, Avoidance, and the Challenges Ahead," Journal of Electronic Testing: Theory and Applications (JETTA), Feb. 2014.

More to Watch!

Videos

- What's inside a microchip? <u>http://www.youtube.com/watch?v=GdqbLmdKgw4</u>
- Zoom Into a Microchip <u>http://www.youtube.com/watch?v=Fxv3JoS1uY8</u>
- Public Key Cryptography: RSA Encryption: <u>http://www.youtube.com/watch?v=wXB-V_Keiu8</u>
- Counterfeit Electronics Could Be Dangerous, Funding Nefarious People <u>http://www.youtube.com/watch?v=dbZiUe6guxc</u>
- How Computers and Electronics Are Recycled <u>http://www.youtube.com/watch?v=Iw4g6H7alvo</u>
- Counterfeit Electronic Components Process <u>http://www.youtube.com/watch?v=5vN_7NJ4qYA</u>
- Counterfeit Inspection <u>http://www.youtube.com/watch?v=MbQUvu2LN6o</u>
- Gold from waste circuit electronics <u>http://www.youtube.com/watch?v=ZkhOuNvkuu8</u>
- Tarnovsky Deconstruct Processor <u>https://www.youtube.com/watch?v=w7PT0nrK2BE</u>

Grading and Project

Grading (Five components)

- One Midterm Exam: 20%
- One Final Exam: 25%
- Project Demo/Report: 20%
- Student Presentation: 20%
- 2-3 HW Assignments (one before Midterm and another after Midtrerm): 15%
- 2-3 Pop Quizzes, 5% bonus points

Project

- Groups of 3-4 for on-site students and individual for EDGE students
- Either propose or select from my list of potential projects/datasets
- Some projects involve hardware component (Xilinx FPGA development board)

Software Tools Needed for Projects and HWs

- PC or Mac or Linux Platforms are OK.
- Statistical analysis of the attacks
 - R statistical computing package (more info later)
- Verilog/VHDL Tools:
 - Synopsys / Xilinx design flow
 - Projects Involving FPGA Development Board
 - Xilinx Vivado Synthesis tools (student edition would suffice)

Course Outline

- **1.** Introduction to Hardware Security & Trust
- 2. Introduction to Cryptography
- **3.** Basics of VLSI Design and Test
- 4. Security Based on Physically Unclonability and Disorder
- 5. Hardware Metering
- 6. Watermarking of HW IPs
- 7. Physical Attacks and Tamper resistance
- 8. Side Channel Attacks and Countermeasures, Countermeasures for Embedded Microcontrollers
- 9. Fault Injection Attacks
- **10.** Trusted Design in FPGAs
- **11.** Security in Embedded Systems
- 12. Security for RFID Tags
- **Hardware Trojans: IC Trust (Taxonomy and Detection)**
- **14.** Hardware Trojans: IP Trust (Detection)
- **15.** Design for Hardware Trust
- **16.** Protecting against Scan-based Side Channel Attacks
- 17. Secure JTAG
- **18.** Counterfeit Detection and Avoidance

NSF SFS Opportunity (New)

- The National Science Foundation (NSF) has awarded the University of Florida funding for 17 CyberScholars for the period 2017-2022.
- Highly prestigious CyberCorps®: Scholarship For Service (SFS)
- The SFS program is offered by the NSF and co-sponsored by the Department of Homeland Security (DHS).
- Scholarship recipients shall pursue academic programs in: Cybersecurity with emphasis on hardware and systems security (HSS)
- Domestic students are eligible to apply
- The program will fund the final:
 - Two years of a master's-level program
 - Two-Three years of study for the bachelor's degree
 - Three years of research-based doctoral-level study

NSF SFS Opportunity (New)

Program Benefits

- Stipend: \$22,500 per year for undergraduate students; \$34,000 per year for master's degree students and \$34,000 per year for doctoral students.
- Full tuition and education related fees.
- Health insurance reimbursement (up to \$3000 per year).
- Professional development allowance (travel, professional certification etc. (up to \$4000 per year) and a book allowance (up to \$2,000 per year).

• Government summer internships and job opportunities with the world's leading security organization.

- Focused on Cybersecurity Education, the <u>Scholarship For</u>
 <u>Service</u> program gives students scholarship funds in exchange for service in the federal government for a period equivalent to the length of their scholarship (up to three years).
- Visit https://www.sfs.opm.gov/ for more information

Hardware Security

Cybersecurity experts have traditionally assumed that the hardware underlying information systems is secure and trusted. However such assumption is no longer true.

Roy Zoppoth stands over a Xerox 914 copy machine, the world's first, which was used in soviet embassies all over the world. The machine was so complex that the CIA used a tiny camera designed by Zoppoth to capture documents copied on the machine by the soviets and retrieved them using a "Xerox repairman" right under the eyes of soviet security.



Photo from edit international courtesy of Roy Zoppoth

Motivation – HW Security

HW security is becoming increasingly important

- Hardware security sneaks into PCs, Robert Lemos, CNET News.com, 3/16/05
- Microsoft reveals hardware security plans, concerns remain, Robert Lemos, SecurityFocus 04/26/05
- Princeton Professor Finds No Hardware Security In E-Voting Machine, Antone Gonsalves, InformationWeek 02/16/07
- Secure Chips for Gadgets Set to Soar, John P. Mello Jr. TechNewsWorld, 05/16/07
- Army requires security hardware for all PCs, Cheryl Gerber, FCW.com, 7/31/2006
- Facebook group on Hardware Security

Pentagon's 'Kill Switch': Urban Myth?

The Pentagon is worried that "backdoors" in computer processors might leave the American military vulnerable to an instant electronic shut-down. Those fears only grew, after an Israeli strike on an alleged nuclear facility in Syria. Many speculated that Syrian air defenses had been sabotaged by chips with a built-in 'kill switch" — commercial off-the-shelf microprocessors in the Syrian radar might have been purposely fabricated with a hidden "backdoor" inside. By sending a preprogrammed code to those chips, an unknown antagonist had disrupted the chips' function and temporarily blocked the radar."

This all had a very familiar ring to it. Those with long memories may also recall exactly the same scenario before: air defenses knocked out by the secret activation of code smuggled though in commercial hardware.

This was back in 1991 and the first Iraq War, when the knockout blow was administered by a virus carried by a printer : One printer, one virus, one disabled Iraqi air defence.

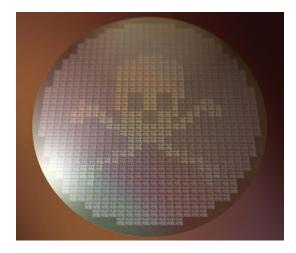
DHS: Imported Consumer Tech Contains Hidden Hacker Attack Tools

Top homeland securities have admitted instances where along with software, hardware components that are being imported from foreign parties and used in different US systems are being compromised and altered to enable easier cyber-attacks.

The Hunt for Kill Switch, IEEE Spectrum 2008

- Increasing threat to hardware due to globalization
- Extremely difficult to detect kill switches (utilized by enemies to damage/destroy opponent artillery during critical missions) as well as intentional backdoors (to enable remote control of chips without user knowledge), which may have huge consequences
- Example: Syrian's Radar during Israeli attack, French Government using kill switches intentionally as a form of active defense to damage the chips if they fall in hostile hands, and more...





Fake Cisco routers risk "IT subversion"

- An internal Federal Bureau of Investigation presentation states that counterfeit Cisco routers imported from China may cause unexpected failures in American networks. The equipment could also leave secure systems open to attack through hidden backdoors.
- ► \$76 million fake Cisco routers

Energy Theft Going From Bad to Worse

- Tampering with "smart" meters
 - Oil, electricity, gas, ...
- \$1B loss in CT because of electricity theft







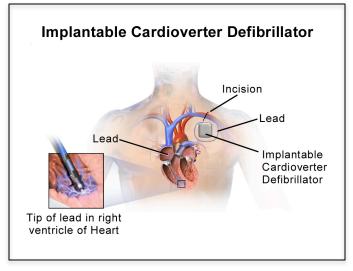
The deadly world of fake medicine – CNN.com

A counterfeit medication or a counterfeit drug is a medication or pharmaceutical product which is produced and sold with the intent to deceptively represent its origin, authenticity or effectiveness.

Medical Device Security

- Incorporating security is sometimes considered expensive
- Implantable devices: e.g., Heart rate monitor
 - Incorporating Security could potentially reduce the life-time of the device by 30%
 - Attacking these device could result in loss of lives





Physical Attacks on Chip IDs

Extracting secret keys

Side-Channel Attacks

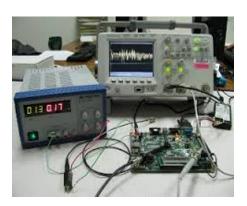
Power Analysis, Timing Analysis, EM Analysis

Tampering with Electronic Devices

Captured Drone by Iran

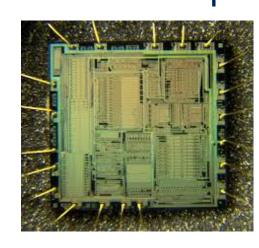
Counterfeit Integrated Circuits

Multi-billion dollar business











¢IEEE

Time for Smart Cards

- SHURT CARD
- By the end of 2006, Westerns European countries fully migrated to smart cards
 - Voting: In Sweden you can vote with your smart card, which serves as a non-repudiation device
 - Telecommunications: Many cellular phones come with smart cards in Europe and will soon be shipping in the United States.
 - Mass Transit: British Air relies on rail and air connections more than most airports.
- In 2006, ~27M contactless cards were in circulation in US, the number is estimated to top 100M by 2011
 - E.g., homeland security has required the port workers to have smart ID cards (Jan, 2007)
 - Entertainment: Most DSS (Digital Satellite Service) dishes in the U.S. have smart cards.

Smart Cards -- Attacks

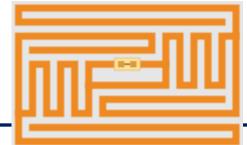
- Access Control: Smart Cards Under Attack Literally, Ken Warren, Security Magazine, 03/17/2006
- Keep Your Enemies Close: Distance Bounding Against Smartcard Relay Attacks, Saar Drimer and Steven J. Murdoch, USENIX SECURITY, 2007
- Vulnerability Is Discovered In Security for Smart Cards, John Markoff, NY TIMES, 05/13/2002

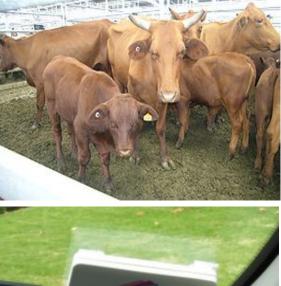
RFIDs

Radio-frequency identification (RFID) is the use of an object (typically referred to as an RFID tag) applied to or incorporated into a product, animal, or person for the purpose of identification and tracking using radio waves.

Most RFID tags contain at least two parts:

- An integrated circuit for storing and processing information, modulating and demodulating a radio-frequency (RF) signal, and other specialized functions.
- An antenna for receiving and transmitting the signal.
- Some are active (battery) and some others are passive







RFIDs

- Many applications in securing transactions,
 - Inventory Control Container / Pallet Tracking
 - ID Badges and Access Control
 - Fleet Maintenance Equipment/Personnel Tracking in Hospitals
 - Parking Lot Access and Control
 - Car Tracking in Rental Lots
 - Product Tracking through Manufacturing and Assembly
- Challenge: Can we create security mechanisms light enough to be suitable for the RFIDs?

Piracy – Some True Stories...

- In 2000, Chen Jin, finished Ph.D. in computer engineering at UT Austin
- He went back to China, first to Motorola research and then to Jiaotong University as a faculty
- In 2003, he supervised a team that created one of China's first homegrown DSP IC
- Chen was named one of China's brightest young scientists, funded his own lab, got a huge grant from the government
- In 2006, it was revealed that he faked the chip, stealing the design from Texas Instruments!
- Links to the article: <u>1</u>, <u>2</u>

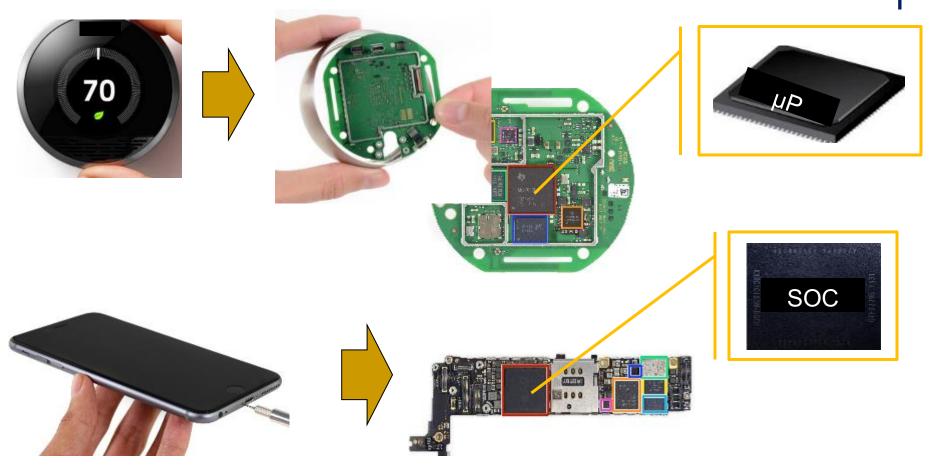
The Athens Affair

- In March 8, 2005, Costas Tsalikidis, a 38-year-old Engineer working for Vodafone Greece committed suicide – linked to the scandal!
- The next day, the prime minister got notified that his cell phone – and those of many other high-rank officials – were hacked!
- Earlier in Jan, investigators had found rogue software installed on the Vodafone Greece by parties unknown
- The scheme did not depend on the wireless nature
- A breach in keeping keys in a file Vodafone was fined €76 million December 2006!

Interesting Articles

- **The Hunt for the Kill Switch**, IEEE Spectrum, May 2008
- J. Villasenor and M. Tehranipoor, "The Hidden Dangers of Chop Shop Electronics" IEEE Spectrum, Sep. 2013.
- M. Tehranipoor, U. Guin, and S. Bhunia, "Invasion of the Hardware Snatchers: Fake Hardware Could Open the Door to Malicious Malware and Critical Failure," IEEE Spectrum, 2017.
- S. Quadir, J. Chen, D. Forte, N. Asadi, S. Shahbaz, L. Wang, J. Chandy, and M. Tehranipoor, "<u>A Survey on Chip to System Reverse Engineering</u>," ACM Journal on Emerging Technologies in Computing Systems (JETC), 2015.
- M. Alam, M. Tehranipoor, and U. Guin, "TSensors Vision, Infrastructure, and Security Challenges in Trillion Sensor Era," Journal of Hardware and Systems Security (HaSS), 2017.
- K. Yang, H. Shen, D. Forte, S. Bhunia, and M. Tehranipoor, "Hardware-Enabled Pharmaceutical Supply Chain Security," ACM Transactions on Design Automation of Electronic Systems (TODAES), 2017.
- F. Rahman, B. Shakya, X. Xu, D. Forte, and M. Tehranipoor, "Security Beyond CMOS: Fundamentals, Applications, and Roadmap," IEEE Transactions on VLSI (TVLSI), 2017.

What is Hardware?

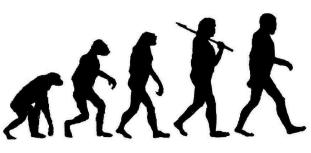


- Electronic System
- System Hardware acts as the *"root-of-trust"*: PCB \rightarrow IC (SoC | μ P)

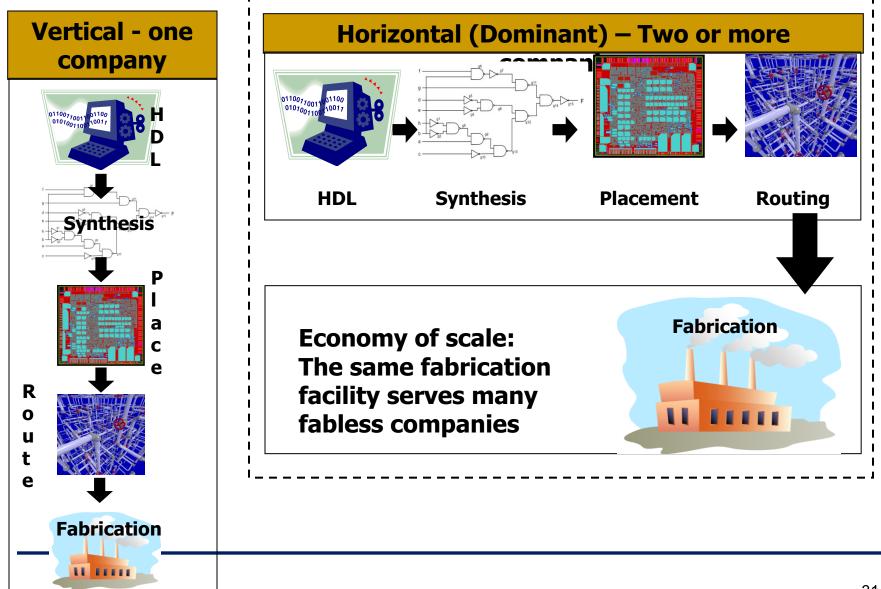
Evolution of Hardware Security and Trust

- Prior to 1996: Coating, encapsulation, labeling, taping, ... still many companies don't spend much for securing their hardware
- **1996**: Extracting secret keys using power analysis started the sidechannel signal analysis era
- 1998: Hardware unique ID
- 2002: Physically Unclonable Functions (PUFs), True Random Number Generation (TRNG), Hardware tagging
- 2004-2007: DARPA TRUST, Hardware trust
- 2008: DARPA IRIS Program Reverse engineering, tampering, and reliability
- 2008: Counterfeit ICs
- 2012: Senate Armed Services National Defense Authorization Act (NDAA) 2012
- 2014: DARPA SHIELD Supply chain security
- 2015: DARPA LADS

More...

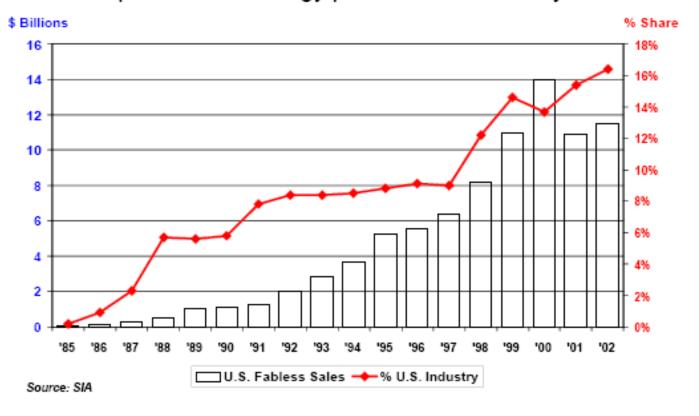


Shift in the Industry's Business Model



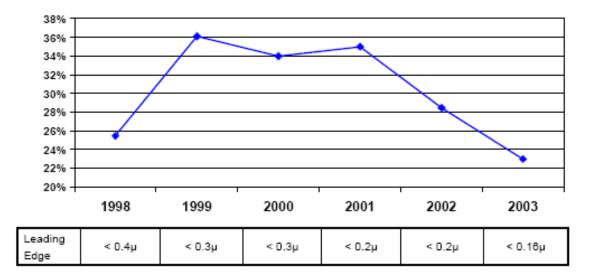
Microelectronic Industry Business Model

The fabless/foundry business model has grown to 16% of the U.S. chip industry. The trend is strongest in the leading process technology portion of the industry



Leading-Edge Technology

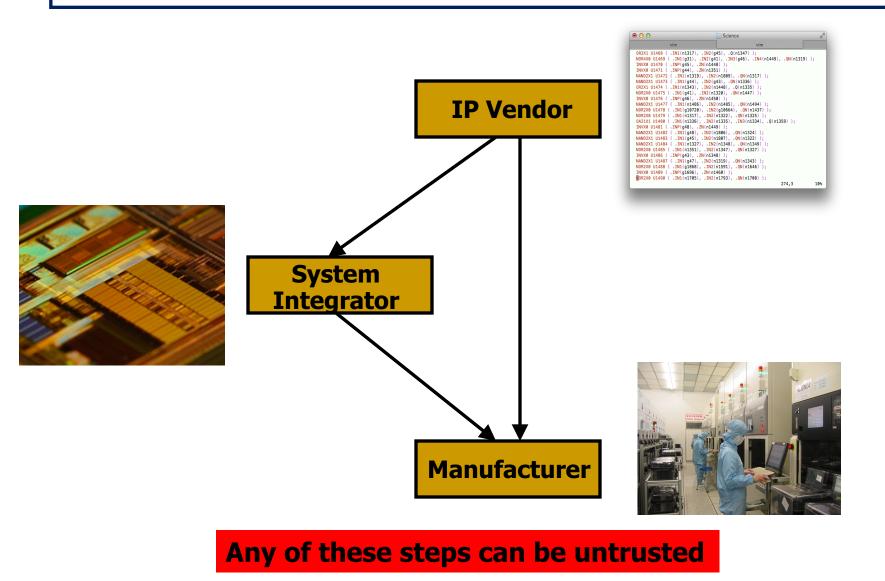
U.S. industry's share of capital expenditures falling and in leading edge semiconductor manufacturing capacity.



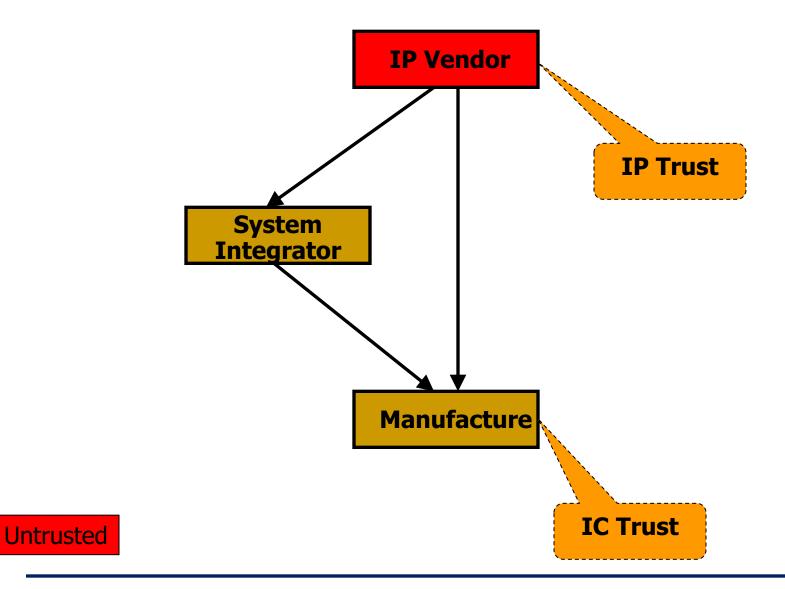
Source: SICAS/SIA

The cost of building a full-scale, 300 mm wafer 65nm process chip fabrication plant is about \$3bn

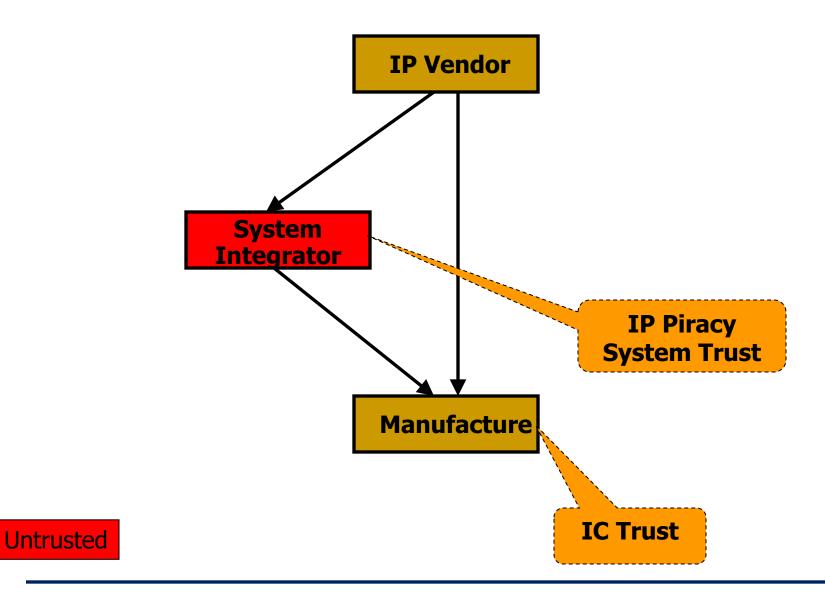
HW Threats



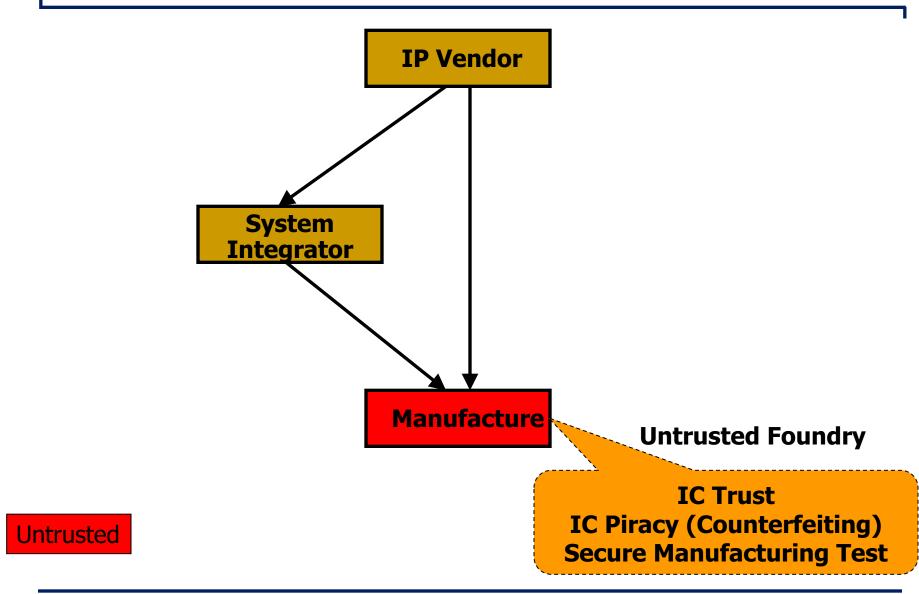
HW Threats



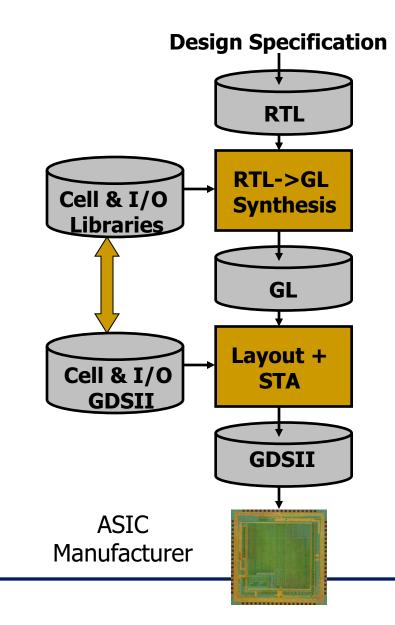
HW Threats



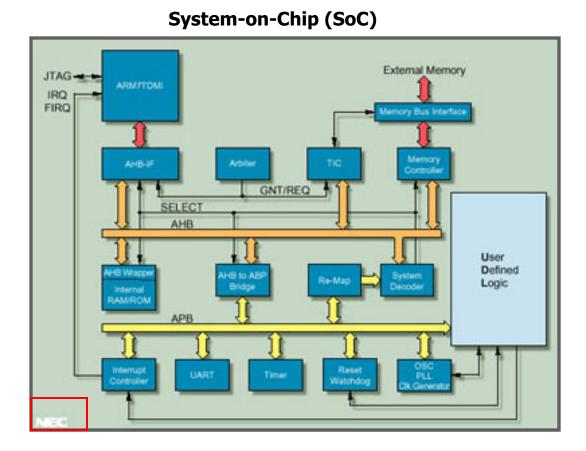
HW Threats



Design Process – Old Way

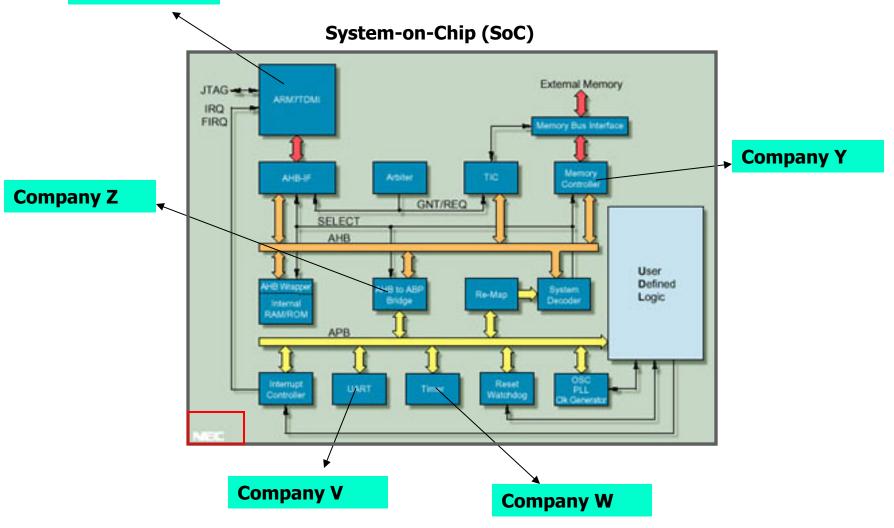


Issues with Third-Party IP Design

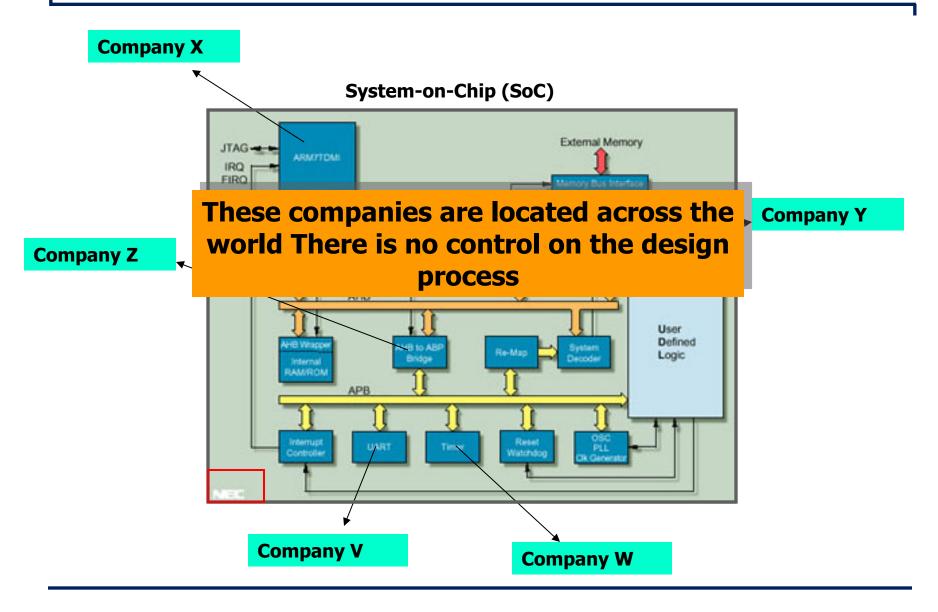


Issues with Third-Party IP Design

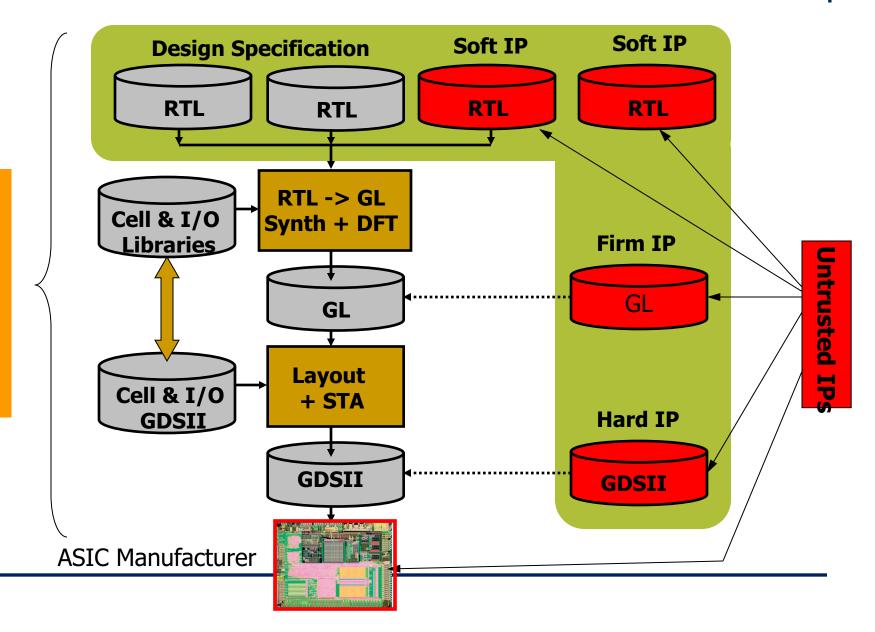
Company X



Issues with Third-Party IP Design



Design Process – New Way



SOC Design Process

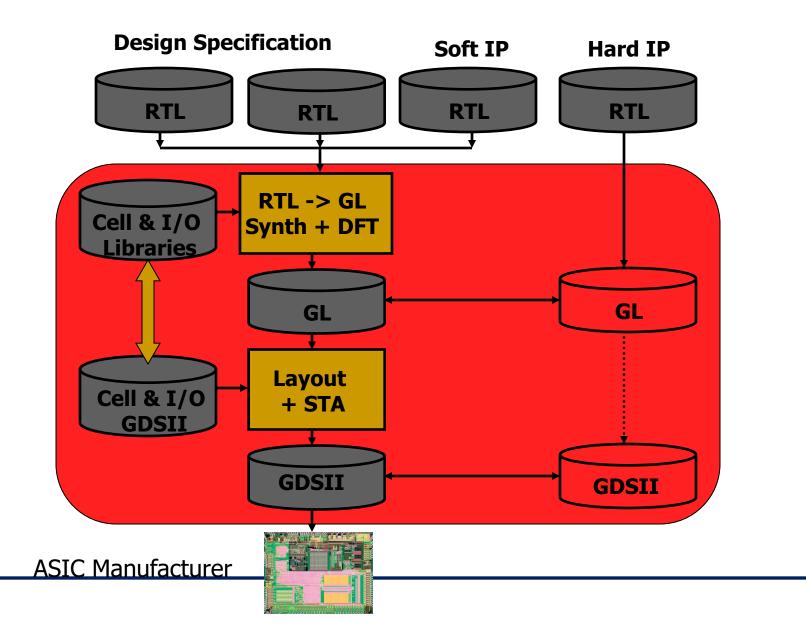
Who Develops the IPs? Who Designs the ICs? Who Fabricates Them?



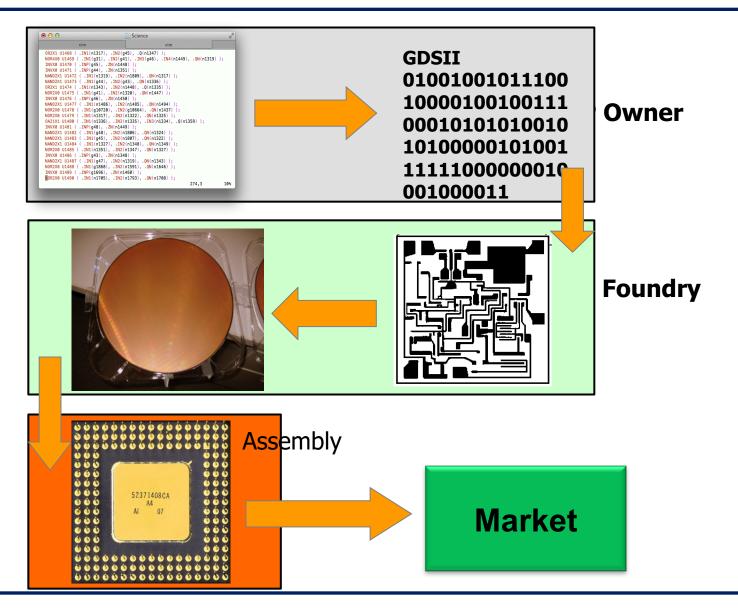
Who Develops the IPs? Who Designs the ICs? Who Fabricates Them?



Untrusted System Integrator

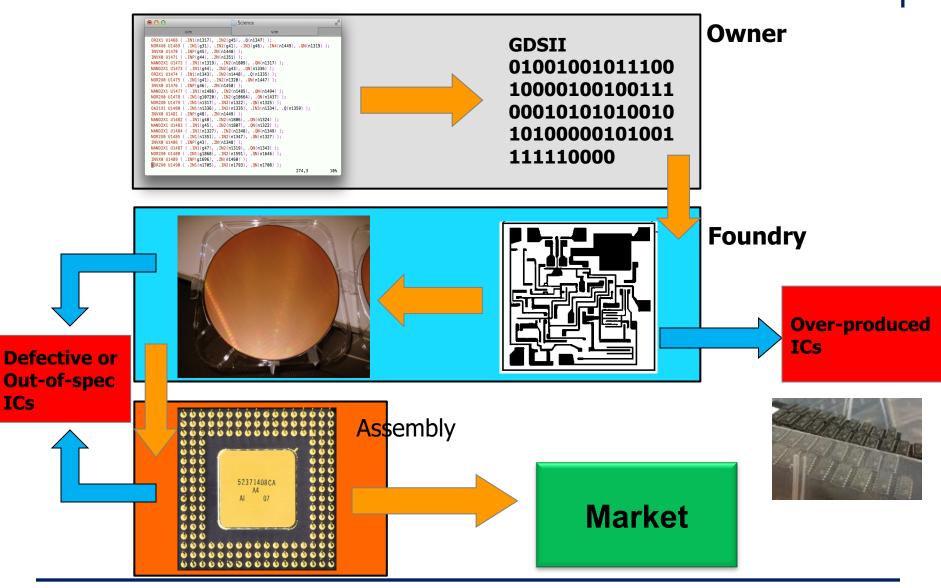


Counterfeiting



Google image

Counterfeiting



Google image

IC Counterfeiting

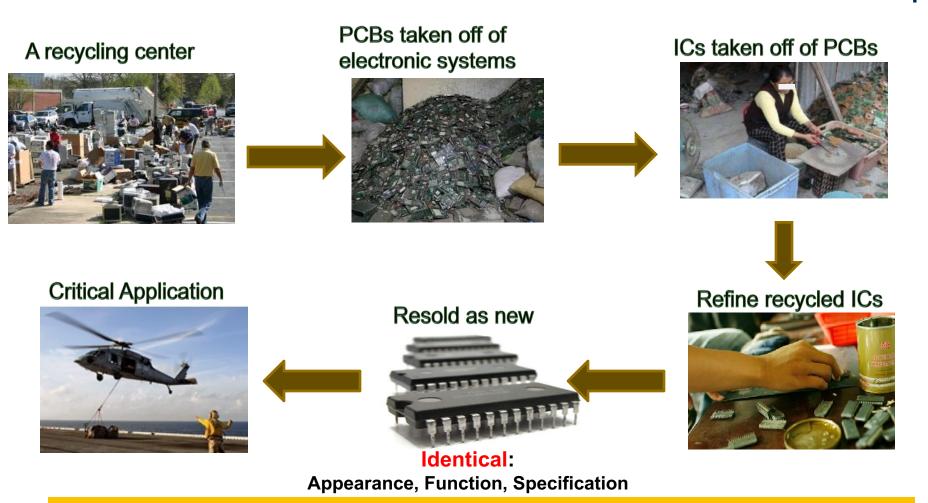
- Most prevalent attack today
- Unauthorized production of wafers
- It is estimated that counterfeiting is costing semiconductor industry more than several billion dollars per year







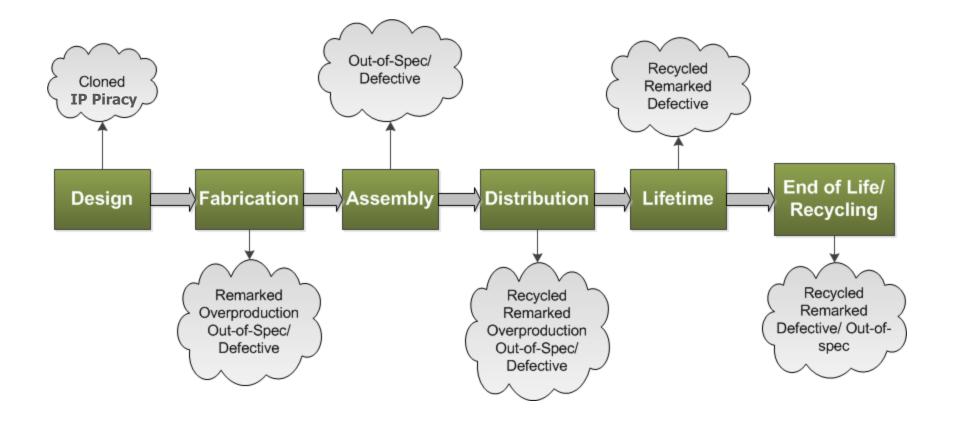
IC Recycling Process



Consumer trends suggest that more gadgets are used in much shorter time – more e-waste

Source: Images are taken from google

Supply Chain Vulnerabilities



Some Basic Definitions

- Intellectual property represents the property of your mind or intellect - proprietary knowledge
- The four legally defined forms of IP
 - Patents When you register your invention with the government, you gain the legal right to exclude anyone else from manufacturing or marketing it
 - Trademarks A trademark is a name, phrase, sound or symbol used in association with services or products
 - Copyrights Copyright laws protect written or artistic expressions fixed in a tangible medium
 - Trade secrets A formula, pattern, device or compilation of data that grants the user an advantage over competitors

Some Basic Definitions (Cont'd)

Cryptography:

- crypto (secret) + graph (writing)
 - the science of locks and keys
- The keys and locks are mathematical
- Underlying every security mechanism, there is a "secret"...
- So the locks and keys are very useful in security
- We are going to talk some about the traditional crypto, but we will also show new forms of security based on other forms of HW-based secret





Security and Protection Objectives, Attacks

Overview

Definitions

- What does secure mean?
- Attacks
- Computer security
- Adversaries
- Methods of defense
- Security in embedded systems, design challenges
- "Secret" -- root of cryptography

What Does Secure Mean?

- It has to do with an asset that has some value think of what can be an asset!
- There is no static definition for "secure"
- Depends on what is that you are protecting your asset from
- Protection may be sophisticated and unsophisticated
- Typically, breach of one security makes the protection agent aware of its shortcoming



Typical Cycle in Securing a System

- Predict potential breaches and vulnerabilities
- Consider possible countermeasures, or controls
- Either actively pursue identifying a new breach, or wait for a breach to happen
- Identify the breach and work out a protected system again



Computer Security

- No matter how sophisticated the protection system is – simple breaches could break-in
- A computing system is a collection of hardware (HW), software (SW), storage media, data, and human interacting with them
- Security of SW, data, and communication
- HW security, is important and challenging
 - Manufactured ICs are obscure
 - HW is the platform running SW, storage and data
 - Tampering can be conducted at many levels
 - Easy to modify because of its physical nature

Definitions

- Vulnerability: Weakness in the secure system
- Threat: set of circumstances that has the potential to cause loss or harm
- Attack: The act of a human exploiting the vulnerability in the system

Computer security aspects

- Confidentiality: the related assets are only accessed by authorized parties
- Integrity: the asset is only modified by authorized parties
- Availability: the asset is accessible to authorized parties at appropriate times

Hardware Vulnerabilities

- Physical Attacks
- Trojan Horses
- IP Piracy
- IC Piracy & Counterfeiting
- Backdoors
- Tampering
- Reverse Engineering



Adversaries

- Individual, group or governments
 - Pirating the IPs illegal use of IPs
 - Inserting backdoors, or malicious circuitries
 - Implementing Trojan horses
 - Reverse engineering of ICs
 - Spying by exploiting IC vulnerabilities
- System integrators
 - Pirating the IPs
- Fabrication facilities
 - Pirating the IPs
 - Pirating the ICs
- Counterfeiting parties
 - Recycling, cloned, etc.



Hardware Controls for Secure Systems

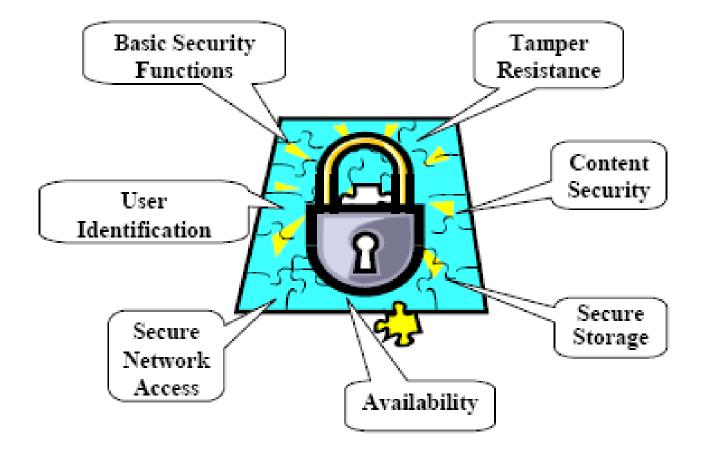
- Hardware implementations of encryption
 - Encryption has to do with scrambling to hide
- Design locks or physical locks limiting the access
- Devices to verify the user identities
- Hiding signatures in the design files
- Intrusion detection
- Hardware boards limiting memory access
- Tamper resistant
- Policies and procedures
- More ...



Embedded Systems Security

- Security processing adds overhead
 - Performance and power
- Security is challenging in embedded systems
 - Size and power constraints, and operation in harsh environments
- Security processing may easily overwhelm the other aspects of the system
- Security has become a <u>new design challenge</u> that must be considered at the design time, along with other metrics, i.e., cost, power, area

Security Requirements in the IoT Era



Secure Embedded Systems - Design Challenges

- Processing gap
- Battery gap
- Flexibility
 - Multiple security objectives
 - Interoperability in different environments
 - Security processing in different layers
- Tamper resistance
- Assurance gap
- Cost

Secret

- Underlying most security mechanisms or protocols is the notion of a "secret"
 - Lock and keys
 - Passwords
 - Hidden signs and procedures
 - Physically hidden

Cryptography – History

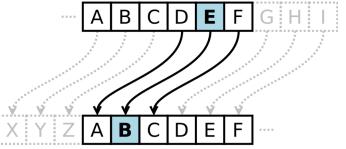
- Has been around for 2000+ years
- In 513 B.C, Histiaeus of Miletus, shaved the slave's head, tattooed the message on it, let the hair grow



Cryptography – Pencil & Paper Era

Caesar's cipher: shifting each letter of the alphabet by a fixed amount!
 Easy to break

Plaintext: THE QUICK BROWN FOX JUMPS OVER THE LAZY DOG Ciphertext: QEB NRFZH YOLTK CLU GRJMP LSBO QEB IXWV ALD



- Cryptoquote: simple substitution cipher, permutations of 26 letters
 - Using the dictionary and the frequencies, this is also easy to break

Cryptography – Mechanical Era

- Around 1900, people realized cryptography has math and stat roots
- German's started a project to create a mechanical device to encrypt messages
- Enigma machine \rightarrow supposedly unbreakable
- A few polish mathematicians got a working copy
- The machine later sold to Britain, who hired 10,000 people to break the code!
- They did crack it! The German messages were transparent to enemies towards the end of war
 - Estimated that it cut the war length by about a year
- British kept it secret until the last working Enigma!



Cryptography – Mechanical Era

- Another German-invented code was Tunny (Lorenz cipher system)
- Using a pseudorandom number generator, a seed produced a key stream ks
- The key stream xor'd with plain text p to produce cipher c: c=p⊕ks
- How was this code cracked by <u>British cryptographers</u> at <u>Bletchley</u> <u>Park</u> in Jan 1942?
- A lucky co-incidence!



German <u>rotor</u> <u>stream</u> <u>cipher</u> machines used by the <u>German</u> <u>Army</u> during <u>World War II</u>

Cryptography – Modern Era

- First major theoretical development in crypto after WWII was Shannon's Information Theory
- Shannon introduced the one-time pad and presented theoretical analysis of the code
- The modern era really started around 1970s
- The development was mainly driven by banks and military system requirements
- NIST developed a set of standards for the banks,
 DES: Data Encryption Standard